



Practitioner's Docket No.: GR 99 P 2011

RLC/2709  
#13/RCE & OXFB  
10/31/03 FC  
tu

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Ulrich Emmerling et al.  
Applic. No. : 09/592,224 Group No. : 2635  
Filed : June 12, 2000 Examiner : Clara I. Yang  
For : Functional Monitoring System, in Particular Access Control System, and  
Method for Functional Control

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MAIL STOP RCE  
Commissioner for Patents  
Alexandria, VA 22313-1450

OCT 29 2003

Technology Center 2600

**REQUEST FOR CONTINUED EXAMINATION (RCE)**  
(37 C.F.R. 1.114)

10/27/2003 EFLORES 00000093 09592224

01 FC:1801 770.00 OP

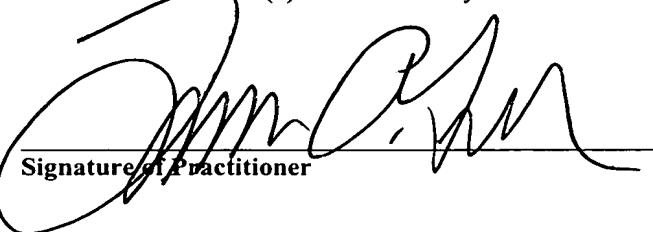
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**CERTIFICATION UNDER 37 C.F.R.1.8(a) and 1.10**  
(When using Express Mail, the Express Mail label number is **mandatory**;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING/TRANSMISSION**

- deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 37 C.F.R.1.8(a) - with sufficient postage as first class mail.
- 37 C.F.R. 1.10 - as "Express Mail Post Office to Addressee"  
Mailing Label No. EL US
- 37 C.F.R.1.8(a) - transmitted by facsimile to the Patent and Trademark Office.

  
Signature of Practitioner

LAURENCE A. GREENBERG  
REG. NO. 29,308

Date: October 20, 2003

10/27/2003 EFLORES 00000094 09592224

01 FC:1251 110.00 OP

1. Applicant hereby requests continued examination, in accordance with 37 C.F.R. § 1.114, for the above-identified application.

#### **TIME REQUEST IS BEING MADE**

2. This request is being submitted:
  - i.  Prior to abandonment of the application
  - ii.  Payment of the issue fee
    - Prior to payment of the issue fee
    - Issue fee has been paid but a petition under § 1.313 has been granted
  - iii.  Prior to a decision on appeal to the Board of Patent Appeals & Interferences
    - A notice is being separately sent to the Board of Patent Appeals & Interferences that this Request for Continued Examination is being filed.
  - iv.  Appeal to the U.S. Court of Appeals of the Federal Circuit under 35 U.S.C. 145; or
    - Commencement of a civil action under 35 U.S.C. 146
    - Prior to the filing of such appeal or commencement of civil action
    - Such appeal or commencement of civil action has been terminated

#### **SUBMISSION REQUIRED UNDER 37 C.F.R. § 1.114**

3. a) Previously submitted
  - Consider the amendment under 37 C.F.R. 1.116 previously filed on September 25, 2003.  
(Any unentered amendments referred to above will be entered)
  - Consider the arguments in the Appeal Brief or Reply Brief previously submitted on
  - Other
- b) Enclosed herewith is/are:
  - An information disclosure (37 C.F.R. §1.98)
  - Form PTO-1449 (PTO/SB/08A and 08B)
  - An amendment
  - New arguments
  - New evidence in support of patentability
  - Other:

**FEE FOR REQUEST (37 C.F.R. § 1.17(e))**

4. This application is on behalf of:

Large entity \$770.00

Continued Prosecution Request Fee \$770.00

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**FEE FOR CLAIMS**

Technology Center 2600

5. The fee for claims (37 C.F.R. §1.16(b)-(d) has been calculated as shown below:

	(Col.1)		(Col.2)	(Col.3)	Small Entity			Large Entity	
	Claims remaining after amendment		Highest No. previously paid for	Present Extra	Rate	Add.Fee	Or	Rate	Add.Fee
Total	20	Minus			x\$9=	\$		x\$18=	\$
Indep.	2	Minus			x\$42=	\$		x\$84=	\$
First Presentation of Multiple Dependent Claims					+\$140=	\$		+\$280=	
					Total Addit.Fee		Or	Total Addit.Fee	

- (c)  No additional fee is required.

**EXTENSION OF TIME**

6. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. § 1.136(a) apply.

- (a) Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a)(1)-(4), for the total number of months checked below:

Extension one month      Large Entity \$ 110.00      Small Entity \$ 55.00  
Fee: \$ 110.00

**TOTAL FEES DUE**

7. The total fees due are:

<input checked="" type="checkbox"/> Continued Prosecution Fee (§ 1.17(e))	\$770.00
<input checked="" type="checkbox"/> Extension of time fee (if any) (§ 1.17(a)(1)-(4))	\$110.00
Total Fees Due	\$880.00

## PAYMENT OF FEES DUE

8. Please pay the fees for this continued examination application as follows:
- Charge Credit Card the sum of \$880.00  
(Credit Card Payment Form (PTO-2038) attached)

Please charge any required additional fees for § 1.17(e), § 1.16(b)-(d) and/or  
§ 1.17(a)(1)-(4) to

- Account No. 12-1099 of Lerner and Greenberg, P.A.

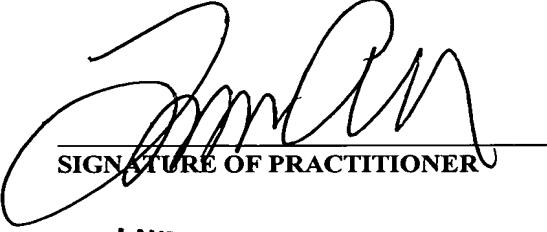
## INVENTORSHIP

9. This application as amended names as inventors:
- the same inventors as previously designated for the claims.

10. **Instructions as to Overpayment**

Credit Deposit Account No. 12-1099.

Refund



SIGNATURE OF PRACTITIONER

LAURENCE A. GREENBERG  
REG. NO. 29,308

Date: October 20, 2003

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